



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Yencho, Stephen; et. al.

Assignee: Cardica, Inc.

Title: Implantable Superelastic Anastomosis Device

Serial No.: 09/687,216

Filing Date: October 12, 2000

Examiner: Ismael Izaguirre

Group Art Unit: 3765

Docket No.: 032405-018

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TECHNOLOGY CENTER R3700

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

In compliance with the Applicant's duty under 37 CFR § 1.56, the following items listed on the attached form PTO-1449 are brought to the attention of the Examiner in conjunction with the RCE filed herewith.

A copy of a cumulative patent listed on the attached form PTO-1449 have not been provided, pursuant to MPEP 609(III)(A)(2). That cumulative patent is U.S. Pat. No. 6,599,303 to Peterson et. al., a child of U.S. Pat. No. 6,152,937, a copy of which has been provided previously.

This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), and thus no petition or fee is required.

Respectfully submitted,

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